

MONITORING REPORT Q4 2019/20 - AUDITS FINALISED

Head of Service	Audit Title	Date Finalised	Assurance Level	Recommendations		
				Made	Agreed	Not Agreed
Housing & Public Health	Town Centre District Housing Office	07/01/20	High	7	7	0
Financial Services & Service Centre	Pension Fund Other Transactions	13/01/20	High	3	3	0
Fundamental Audits	Housing Rents 2019/20	23/01/20	High	7	7	0
Building Services	Building Services - Control of Contracts	23/01/20	High	1	1	0
Fundamental Audits	Pension Fund Investments 2019/20	04/02/20	High	0	0	0
Digital & Transformation	Change Control	03/03/20	High	1	1	0
Fundamental Audits	Employee Services 2019/20	03/03/20	High	5	5	0
Planning & City Regeneration	External Funding Team	04/03/20	High	0	0	0
Cultural Services	Community Buildings	09/03/20	High	3	3	0
Fundamental Audits	Pension Fund Administration 2019/20	16/03/20	High	0	0	0
Financial Services & Service Centre	Cashiers Office	27/03/20	High	2	2	0
Education Planning & Resources	Seaview Community Primary School	07/01/20	Substantial	6	6	0
Chief Transformation Officer Audits	Corporate Complaints	20/01/20	Substantial	6	6	0
Education Planning & Resources	sQuid System Review	23/01/20	Substantial	10	10	0
Education Planning & Resources	St Thomas Primary School	27/01/20	Substantial	10	8	2
Highways & Transportation	Civil Parking Enforcement	31/01/20	Substantial	12	12	0
Cultural Services	Grand Theatre & Glyn Vivian Catering	17/02/20	Substantial	2	2	0
Cross Cutting Audits	Information Governance	19/02/20	Substantial	12	12	0
Cultural Services	Cultural Services Review of Contracts	24/02/20	Substantial	2	2	0
Highways & Transportation	Car Parks Income Review (G4S)	28/02/20	Substantial	5	5	0
Digital & Transformation	Physical and Environmental Controls	03/03/20	Substantial	6	6	0
Cultural Services	Sports Permits	16/03/20	Substantial	6	6	0
Highways & Transportation	Stores (Pipehouse Depot)	17/03/20	Substantial	10	10	0
Cross Cutting Audits	Safeguarding	24/03/20	Substantial	3	3	0
Fundamental Audits	Accounts Payable 2019/20	27/03/20	Substantial	19	19	0
Highways & Transportation	Concessionary Bus Fares	27/02/20	Moderate	5	5	0
Education Planning & Resources	Cwmglas Primary School	10/03/20	Moderate	22	22	0
			Total	165	163	2